

## UPGMA tree inference

**Problem 1.**

	L2	L3	L4	L5	inferred nodes		
					24	15	3:24
L1	14	14	14	$8_2$	14	X	14
	L2	10	$4_1$	14	X	X	X
		L3	10	14	$10_3$	14	X
			L4	14	X	X	X
				L5	14	X	X
					24	14	X
						15	14
							3:24

**Problem 1a.** Fill in all relevant distances computed by UPGMA.

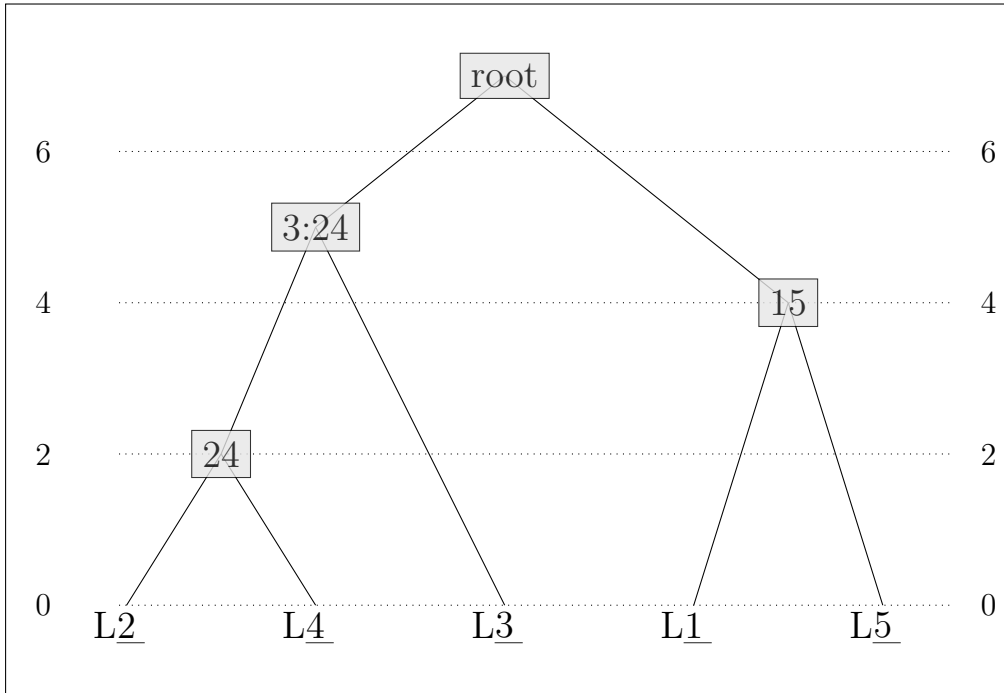
Since this is a closed book test; let me remind you that UPGMA infers rooted trees assuming a constant speed of evolution.

The subscripts on distances indicate the order of merging by UPGMA, for example the  $4_1$  for the distance between L2 and L4 indicates that L2 and L4 are merged in the first step.

## UPGMA tree inference

**Problem 1b.** Sketch the UPGMA inferred tree in box below.

Sketch the tree inferred by UPGMA, with the leaves at height 0 and inferred ancestor nodes at the appropriate height. Indicate leaves by filling in “L1”, “L2” etc. where there is a “L\_”. Show the root node as “root”.

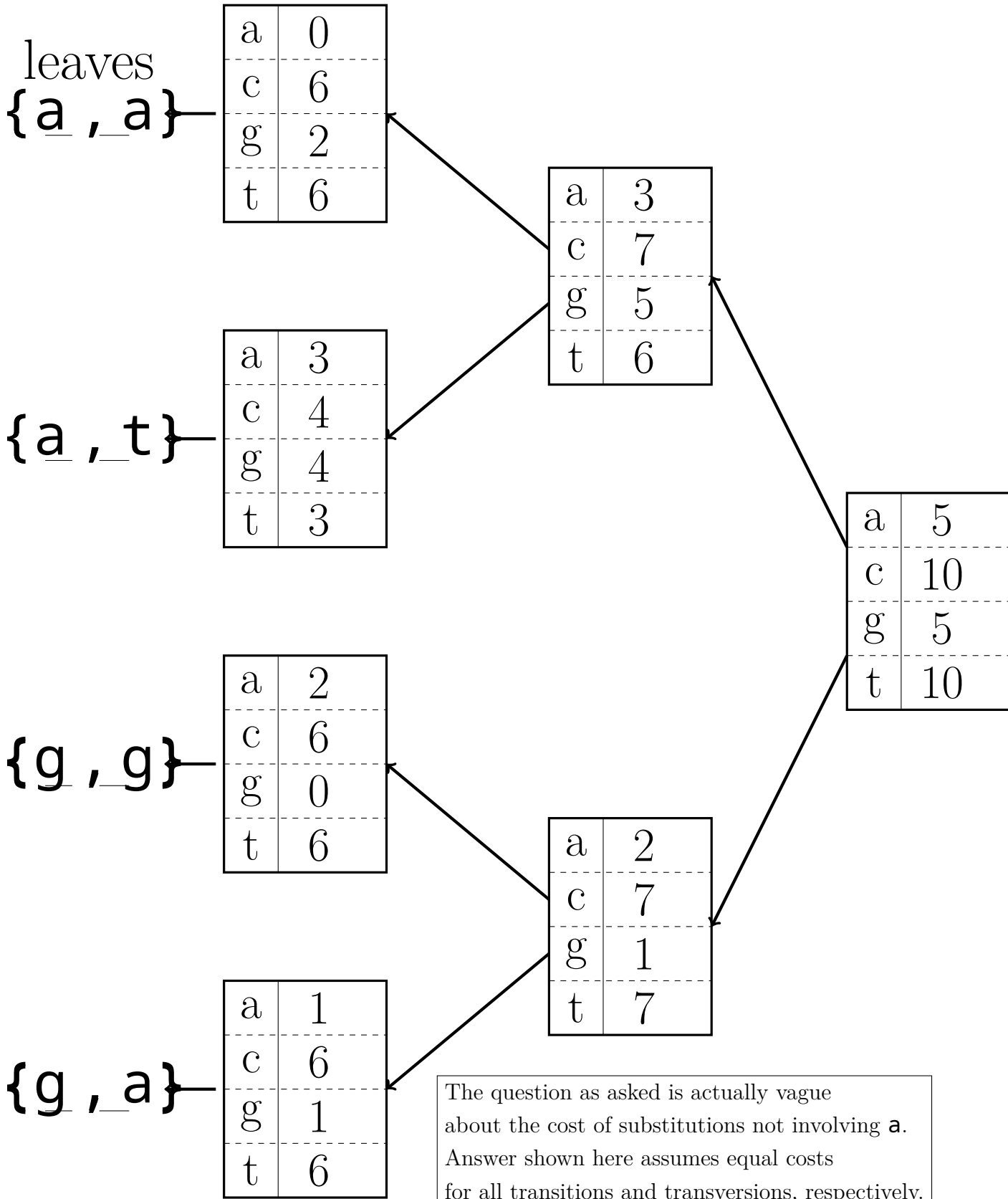


**Problem 1c.** Is this UPGMA tree reliable? State your reasons.

*The tree is reliable because the distances given are ultrametric.*

## Weighted Parsimony

**Problem 2.** Fill in the minimal costs for assuming each nucleotide in the blanks below.



## Affine Gap Alignment

### Problem 3.

		C	G	T	A	T	T	G
	0	-3	-4	-5	-6	-7	-8	-9
C	-3	× ×	-1 ×	-2 ×	-3 ×	-4 ×	-5 ×	-6 -12
		+2	-5	-5	-7	-7	-8	-10
G	-4	× -1	-8 -8	+1 -8	0 -10	-1 -9	+2 -11	+3 -13
		-5	+4	-3	-4	-5	-6	-3
T	-5	× -2	-8 -1	-6 -6	+3 -7	+2 -8	-1 -9	-2 0
		-5	-3	+6	-1	+2	+1	0
C	-6	× -3	-6 -2	-7 +3	-5 -4	+1 +1	0 -2	-1 -1
		-3	-4	-2	+4	+2	+1	-1
G	-7	× -4	-11 -3	-4 +2	-5 +1	-1 0	0 -2	-1 -2
		-8	-1	-4	+2	+2	0	+3

According to instructions on the following page.

**Problem 3a.** Fill in this dynamic programming table.

Note that some scores could be better (higher) if adjacent alternating gaps were allowed. For example, cell representing aligning CGT- with CG.

Alternating adjacent

gap alignment:

CGT-

C--G

\*2 1

But we are forced to use:

CGT-

--CG

2 x1

## Affine Gap Alignment

**Problem 3b.** List any globally optimal alignments (here) and show trace-back arrows on the dynamic table on the first page.

**Solution:**

CGTATTG	CGTATTG
CG--TCG	CGT--CG
**12*x*	***12x*

Either of the two alignments above have score of:  $(4)(2) - 1 - 3 - 1 = 3$ .

### Affine Gap Alignment

		C	G	T	A	T	T	G
	0	-3	-4	-5	-6	-7	-8	-9
C	-3	-6 -6	-1 -7	-2 -8	-3 -9	-4 -10	-5 -11	-6 -12
		+2	-5	-5	-7	-7	-8	-10
G	-4	-7 -1	-8 -4	+1 -5	0 -6	-1 -7	+2 -8	+3 -9
		-5	+4	-3	-4	-5	-6	-3
T	-5	-8 -2	-5 -1	-4 -2	+3 -3	+2 -4	-1 -1	-2 0
		-5	-3	+6	-1	+2	+1	0
C	-6	-9 -3	-6 -2	-5 +3	0 -1	+1 +1	0 -2	-1 -1
		-3	-4	-2	+4	+2	+1	-1
G	-7	-10 -4	-7 -3	-4 +2	-5 +1	-1 0	0 -2	-1 -2
		-8	-1	-4	+2	+2	0	+3

Shown above; the dynamic programming table allowing for alternating adjacent gaps. Notice that some gap state scores increase, but the overall maximum remains 3.

As an aside; note that the difference in scores between adjacent cells in the dynamic programming table becomes more homogeneous (often differing by 1 for example). Algorithms (outside the scope of this question) have been designed which take advantage of this regularity.

## Affine Gap Alignment

### Scoring Parameters

Alignment scoring parameters: match score +2;  
mismatch score transition -1, transversion -2.

Gap open score -3, gap extend score -1.

A transition is a substitution  $\mathbf{a} \leftrightarrow \mathbf{g}$  or  $\mathbf{c} \leftrightarrow \mathbf{t}$ , other single nucleotide substitutions are transversions.

For example, the alignment:

```
CGTTTTAAG
CGTCA---G
***xX 3 *
```

With 4 matches, 1 transition, 1 transversion, 1 gap opening, & 2 gap extensions, would score:  $(4)(2) - 1 - 2 - 3 - (1)(2) = 0$

**Problem 3c.** (On the next page) List the recursive relationships needed to efficiently compute a minimal cost global alignment with affine gap costs. you may assume the scoring parameters penalize gaps strongly enough that an optimal alignment never has alternating adjacent gaps.

In other words:  $\mathbf{x-x}$  alignment like this never optimal  
 $\mathbf{yy-}$

### Notation

Let  $x = x_1, \dots, x_n$ ,  $y = y_1, \dots, y_m$  denote the sequences.

Note that the indices start from 1; so that 0 can be used as an index to represent the empty string “before” the start of a sequence.

Let  $m(\mathbf{a}, \mathbf{b})$  indicate the score of aligning characters  $\mathbf{a}$  and  $\mathbf{b}$  together (a match when  $\mathbf{a}=\mathbf{b}$ , otherwise a mismatch), Let  $g_o$  indicate gap open, and  $g_e$  indicate gap extension costs.

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## Affine Gap Alignment

### Additional Notation

For integers  $i, j$  such that  $0 < i \leq n, 0 < j \leq m$

$M(i, j)$  denotes the min. cost alignment of  $x_{1\dots i}$  and  $y_{1\dots j}$ ; ending with  $x_i$  aligned to  $y_j$ .

$X(i, j)$  denotes the min. cost alignment of  $x_{1\dots i}$  and  $y_{1\dots j}$ ; ending with  $x_i$  aligned to a gap.

$Y(i, j)$  denotes the min. cost alignment of  $x_{1\dots i}$  and  $y_{1\dots j}$ ; ending with  $y_j$  aligned to a gap.

### Base Cases

Let  $M(0, 0) = 0, \forall k > 0 M(0, k) = M(k, 0) = \infty$

Let  $X(0, 0) = Y(0, 0) = \infty, \forall k > 0 M(0, k) = M(k, 0) = g_o + (k - 1)(g_e)$

### Recurrences

$$M(i, j) = m(x_i, y_j) + \max \begin{cases} M(i - 1, j - 1) \\ X(i - 1, j - 1) \\ Y(i - 1, j - 1) \end{cases}$$

$$X(i, j) = \max \begin{cases} M(i - 1, j) + g_o \\ X(i - 1, j) + g_e \end{cases} \quad Y(i, j) = \max \begin{cases} M(i, j - 1) + g_o \\ Y(i, j - 1) + g_e \end{cases}$$

### Global Alignment Score

The global alignment score is:  $\max \{M(n, m), X(n, m), Y(n, m)\}$



## Stochastic Context Free Grammar

### Problem 4.

#### Background

This problem is about using stochastic context free grammar formalization to model a RNA sequence motif, including secondary structure information.

We want to model a stem-loop structure like this one:

5' (( (NNNN) ))Yu 3'

Where paired ( ) represent bases paired in an RNA stem. Assume the following percent probabilities:

Y                    c:40            u:60

N        a:20 c:30 g:20 u:30

( )    c=g:25 g=c:25 a=u:20 u=a:20 u=g:05 g=u:05

Where 40 means 40%, etc; and **c=g** indicates a cytosine base paired with a guanine base in a stem structure.

## Stochastic Context Free Grammar

**Problem 4a.** Write a stochastic context free grammar to generate sequences consistent with the sequence motif and probabilities described above. Your grammar can use the symbols  $Y$  and  $N$  in the same way as above; but do not use “( )”, or “=” in your grammar; instead use alphanumerical symbols such as  $H$  or  $H_1$  etc.

**Solution:**

$N \rightarrow a:20 \ c:30 \ g:20 \ u:30$

$Y \rightarrow \quad \quad \quad c:40 \quad \quad \quad u:60$

$L \rightarrow N \ N \ N \ N$

$H_1 \rightarrow aLu:20 \ gLc:25 \ aLu:20 \ uLa:20 \ uLg:5 \ gLu:5$

$H_2 \rightarrow aH_1u:20 \ gH_1c:25 \ aH_1u:20 \ uH_1a:20 \ uH_1g:5 \ gH_1u:05$

$H_3 \rightarrow aH_2u:20 \ gH_2c:25 \ aH_2u:20 \ uH_2a:20 \ uH_2g:5 \ gH_2u:05$

$B \rightarrow H_3 \ Y$

$S \rightarrow B \ u$

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## Stochastic Context Free Grammar

**Problem 4b.** What is the probability the model would generate the following sequence?

ggccuaggcuuu

Show enough calculation to justify your answer.

**Solution:** Important to note that this grammar produces fixed length strings and the alignment between terminals (RNA bases) to the production rules is trivial. the only possible parse is:

```
( [{ NNNN } ] ) Yu
ggc cuag gcu uu
```

This requires a non-canonical g=u pair in the stem structure, which our grammar allows.

Since there is only one viable parse we just need the product of the mappings:

pattern	base(s)	% probability
N	c	20
N	u	30
N	a	30
N	g	20
( )	gu	05
[ ]	gc	25
{ }	cg	25
Y	u	60
u	u	100

(\* .20 .30 .30 .20 .05 .25 .25 .60) --> 6.75e-06

The probability is  $6.75 \times 10^{-6}$ .